Nov-19-01 18:46

From-LAHIVE & COCKFIELD, LLP

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T-340

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PATENT AGENTS THEODORER WEST SHAYNEY HUFF, Ph D DANUEL B KO

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" AGRIFTED IN NY CORY ** Passed the Patient Bar Examination *** Actinique in TX and

November 20, 2001

Ms. Brenda Akins University of Iowa Research Foundation Oakdale Research Campus 214 Technology Innovation Center Iowa City, Iowa 52242

RE:

Continued Prosecution Application of Serial No. 09/541,873

"AN AUTOINDUCER MOLECULE"

Filed: April 3, 2000

Your Reference: UIRF No. 93-55 DIVCNCPA

Our Reference: UIZ-003DVCNCPA

Dear Brenda:

12/13/2001 GANTHONY 00000001 Entelosed present find copies of the above-identified continued prosecution application, Sale Ref: 00000001 Dalla 120080 1354 Freliminary Amendment, that were filed with the U.S. Patent and Trademark 740 Miceion November 19, 2001, via facsimile transmission procedure.

> We will keep you apprised of developments in this case as they arise. In the meantime, if you have any questions or comments, please call us.

> > Sincerely,

LAHIVE & COCKFIELD, LLP

PCL/JGW:vlh Enclosures

cc: Andrew Cubin (w/ enc.)

Elizabeth A. Hanley, Esq. (w/o enc.) Jacob G. Weintraub, M.S. (w/o enc.)

T-340 P 03/12 F-260

Page 1 of 3

Customer No. 000959

REQUEST FOR CONTINUED PROSECUTION APPLICATION (CPA) UNDER 37 CFR 1.53(d)

DOCKET NUMBER	ANTICIPAT OF THIS AP	ED CLASSIFICATION PLICATION:	PRIOR APPLICATION SERIAL NUMBER: 09/541,873	PRIOR APPLICATION FILING DATE: APRIL 3, 2000
UIZ- 003DVCNCPA	CLASS.	SUBCLASS:	EXAMINER: B. TRINH	ART UNIT: 1625

COMMISSIONER FOR PATENTS BOX CPA WASHINGTON, DC 20231

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this Request for Continued Prosecution Application and supporting documents are being facsimile transmitted on the date indicated below to the Commissioner for Patents, Box CPA, Washington, DC 20231.at 703-872-9306, on:

November 19, 2001

Date of Signature and of Facsimile

Transmission

Peter C. Lauro, Esq.

Registration No. 32,360

Attorney for Applicants

Dear Sir:

This is a request for filing a continued prosecution application under 37 CFR 1.53(d), of pending prior application no. 09/541,873, filed on April 3, 2000, entitled AUTOINDUCER MOLECULE, which in turn is a continuation of application no. 08/456,864, filed on March 17, 1999, now issued as U.S. Patent 6,057,288, which in turn is a continued prosecution application of application no. 08/456,864, filed on June 1, 1995, now abandoned, which in turn is a divisional application of application no. 08/104,487, filed on August 9, 1993, now issued as U.S. Patent 5,591,872, which was filed by the following named inventor(s).

FULL NAME OF INVENTOR	RESIDENCE ADDRESS	CITIZENSHIP
James P. Pearson	412 South Linn Street, Apr. 12, Iowa City, Iowa 52240	U.S.
	POST OFFICE ADDRESS	
	Same as above	
FULL NAME OF INVENTOR	RESIDENCE ADDRESS	CITIZENSHIP
Kendall M. Gray	120 1/2 Fairchild, Iowa City, Iowa 52245	U.S.
	POST OFFICE ADDRESS	
	Same as above	
FULL NAME OF INVENTOR	RESIDENCE ADDRESS	CITIZENSHIP
Luciano Passador	604-12 Suburban Court, Rochester, New York 14620	Canadian
	POST OFFICE ADDRESS	
	Same as above	
FULL NAME OF INVENTOR	RESIDENCE ADDRESS	CITIZENSHIP



Kenneth D. Tucker	20408 Afternoon Lane, Germantown, Maryland 20874 POST OFFICE ADDRESS Same as above	U.\$.
FULL NAME OF INVENTOR Anatol Eberhard	2434 Cortington Road, Brooktondale, New York 14817 POST OFFICE ADDRESS Same as above	CITIZENSHIP U.S.
FULL NAME OF INVENTOR Barbara H. Iglewski	RESIDENCE ADDRESS 8 McCoord Woods, Fairport, New York 14450 POST OFFICE ADDRESS Same as above	CITIZENSHIP U.S.
FULL NAME OF INVENTOR Everen P. Greenberg	RESIDENCE ADDRESS 4020 Stewart Street, Iowa City, Iowa 52240 POST OFFICE ADDRESS Same as above	CITIZENSHIP U.S.

The above-identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this Continued Prosecution Application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

- 1. Examplication discloses only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same as those named in the prior application.
- 2. Applicants hereby request a shift of election of invention from that constructively elected in the prior application to the invention recited in claims 44-43 submitted in the prior application and withdrawn from consideration as directed to constructively non-elected subject matter.
- 3. X A preliminary amendment is enclosed.

The filing fee is calculated on the basis of the claims existing in the prior application as amended at 2 and 3 above.

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SMALL ENTITY		
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BASIC FEE	\$.00	
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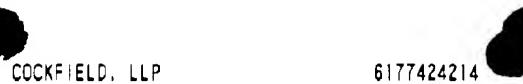
OR

<u>OR</u>

SMALL ENTITY	
RATE	FEE
x 18 -	\$0.00
× ¥4	\$ 168 00
+ 280 -	2 00
BASIC FEE	\$740 Ou
TOTAL	\$90¥ 00

- 4.

 The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required, or credit any overpayments, associated with this communication, to Deposit Account No. 12-0080.
- 5. Z Please charge Deposit Account No. 12-0080 the amount of \$5908.00 for payment of the filing fee.



- 6. The prior application is assigned of record to: University of Iowa Research Foundation; recorded October 18, 1993, Reel 6726 Frame 0439-0440; University of Rochester; recorded October 18, 1993, Reel 6726 Frame 0443-0444; and Ithaca College; recorded October 18, 1993, Reel 6726 Frame 0441-0442.
- M The power of attorney in the prior application is to Lahive & Cockfield, LLP
- Applicants request any extensions of time necessary to respond. 8.
- 9. Address all future communications (may only be completed by applicant or attorney or agent of record) to Elizabeth A. Hanley, Esq. at Customer No. 000959, whose address is.

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the application in the file wrapper of a 37 CFR 1.53(d) application be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

November 19, 2001

Nov-19-01 18:47

Date

Peter C. Lauro, Esq., Reg. No. 32,360

Attorney of Record